



Mark N. Zaruba

Partner

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Mark Zaruba has developed a broad practice at Williams Mullen, representing clients with respect to securities, regulatory compliance, mergers and acquisitions and corporate governance matters, with a particular focus on Regulation D private placement offerings, private equity fund formation and financing transactions for early-stage companies.

This broad range of experience allows Mark to help clients throughout all stages of their transactions — from advising on the structure of private equity funds, private placement offerings and other financing transactions, to the negotiation of transaction terms and drafting offering materials and ultimately closing the transaction.

Mark represents an array of companies with respect to their capital raising efforts and corporate governance needs, with a focus on private equity fund sponsors and advisers, real estate development syndications, Qualified Opportunity Zone Funds and early-stage operating companies across a variety of industries (including a local bespoke men's clothing company, pharmaceutical and life-science companies, an online broker-dealer platform and an owner/operator of senior housing communities).

Mark also provides regulatory guidance to his clients relating to federal and state registration requirements for investment companies, investment advisers and broker-dealers. In addition, he advises several registered investment advisers on the substantive requirements of the Investment Advisers Act of 1940.

Prior to joining Williams Mullen, Mark worked on the staff at the SEC in the Chief Counsel's Office of the Division of Investment Management, where he developed substantial experience with SEC regulatory and compliance matters arising under the Investment Company Act of 1940 and the Investment

Advisers Act of 1940. His asset management experience focuses on registered funds (including both closed-end funds and business development companies), private funds, investment advisers and new investment products.

Mark serves on the board of directors of the Venture Forum RVA and the Advisory Council of Startup Virginia. He earned his Juris Doctor degree from the University of Virginia School of Law. He earned a Bachelor of Science in Business Administration degree and a Bachelor of Arts degree in economics from the University of North Carolina at Chapel Hill.

Practice Areas

- Securities & Corporate Governance
- Corporate
- Mergers & Acquisitions
- Virginia Business & Corporate Law
- Private Equity and Venture Capital
- Entity Formation and Strategic Planning
- Outside General Counsel
- Qualified Opportunity Zones
- Emerging Companies

Education

- University of Virginia School of Law (J.D.)
- University of North Carolina (B.S.B.A.)
- University of North Carolina (B.A. – Economics)